

# **Cluster randomization for Clinical Effectiveness Research**

Richard Platt, MD, MSc  
Harvard Medical School and  
Harvard Pilgrim Health Care Institute

# REDUCE MRSA Trial

## Randomized Evaluation of Decolonization vs. Universal Clearance to Eliminate MRSA

Funded by AHRQ DEcIDE program and  
CDC Prevention Epicenters



# Investigators

## **UC Irvine**

- Susan Huang
- Adriana Gomosev
- Eric Cui
- Leah Terpstra

## **Harvard**

- Richard Platt
- Ken Kleinman
- Taliser Avery
- Julie Lankiewicz
- Katie Haffenreffer
- Rebecca Kaganov
- Fallon Onufrak

## **HCA**

- Jonathan Perlin
- Ed Septimus
- Julia Moody
- Jason Hickok

## **CDC**

- John Jernigan

## **U Chicago**

- Mary Hayden
- Robert Weinstein
- K Lolans

## **Washington U**

- Victoria Fraser

# Cluster Randomized Trials in Comparative Effectiveness Research

## *Randomizing Hospitals to Test Methods for Prevention of Healthcare-Associated Infections*

*Richard Platt, MD, MS,\* Samuel U. Takvorian, AB,\* Edward Septimus, MD,†  
Jason Hickok, MBA, RN,† Julia Moody, MS,† Jonathan Perlin, MD, PhD,†  
John A. Jernigan, MD, MS,‡ Ken Kleinman, ScD,\* and Susan S. Huang, MD, MPH§*

---

**Background:** The need for evidence about the effectiveness of therapeutics and other medical practices has triggered new interest in methods for comparative effectiveness research.

**Objective:** Describe an approach to comparative effectiveness research involving cluster randomized trials in networks of hospitals, health plans, or medical practices with centralized administrative and informatics capabilities.

**Research Design:** We discuss the example of an ongoing cluster randomized trial to prevent methicillin-resistant *Staphylococcus aureus* (MRSA) infection in intensive care units (ICUs). The trial randomizes 45 hospitals to: (a) screening cultures of ICU admissions, followed by Contact Precautions if MRSA-positive. (b)

**Results:** Recruitment of hospitals is complete. Data collection will end in Summer 2011.

**Conclusions:** This trial takes advantage of existing personnel, procedures, infrastructure, and information systems in a large integrated hospital network to conduct a low-cost evaluation of prevention strategies under usual practice conditions. This approach is applicable to many comparative effectiveness topics in both inpatient and ambulatory settings.

**Key Words:** cluster randomization, comparative effectiveness, MRSA prevention

*(Med Care 2010;48: S52–S57)*

# The REDUCE MRSA Cluster Randomized Trial

- Routine Care
  - Screen everyone and isolate if positive
- Targeted Decolonization
  - Screen, isolate, and decolonize if MRSA+
- Universal Decolonization
  - Stop screening, decolonize all, isolate if known MRSA+

Decolonization = chlorhexidine baths (OTC), mupirocin nasal ointment (drug)

# REDUCE MRSA Trial Outcomes

- Primary Outcome

- Any clinical MRSA isolate attributed to an ICU
- Attributable to first ICU admission per hospitalization

- Secondary Outcomes

- MRSA sterile site infections
- All sterile site infections
- MRSA resistance to mupirocin or chlorhexidine

# The REDUCE MRSA Trial Setting

- 43 HCA hospitals
- 74 ICUs
- 74,000 ICU stays during 18 month intervention
- 48,000 ICU stays during 12 month baseline

# Power

- Primary outcome MRSA isolate
  - 99% power to detect 40% reduction
  - Estimate from HCA baseline 20 events /10,000 days
- Secondary outcome: MRSA blood or urine culture
  - 89% power
  - Estimate from baseline 6/10,000 ICU pt days



# IRB and consent process

- Centralized IRB
  - 38 hospitals ceded to lead IRB at Harvard Pilgrim Health Care
- Waiver of documented consent

# IRB considerations

- Cluster randomization required for this contagious condition
- All regimens were standard of care somewhere
- Surveillance and bathing regimens not typically discussed with patients
- Anticipated favorable benefit-risk ratio

## FOR YOUR INFORMATION

Our hospital is dedicated to improving medical care for its patients. We are currently participating with 44 other US hospitals in an evaluation of 3 different approaches to protect patients from highly antibiotic-resistant bacteria. All 3 approaches are already being used in many US hospitals, but it is not known whether one method is better than another. Adult intensive care units in this hospital are routinely providing ICU patients with anti-bacterial baths and nasal ointment to remove these bacteria and reduce the risk of infection in our critically ill patients.

Patients will receive daily bathing with anti-bacterial cloths and twice-a-day treatment in the nose with a topical antibiotic ointment. The cloths contain an antiseptic agent that has been used for skin cleansing in hospitals for many years and is available over the counter at your local drugstore. Both products are approved by the FDA and are extremely safe. If you have a history of sensitivity or allergy to either product, they will not be used. Data from this ICU population as a group will be used in this assessment. No individual patients will be identified.

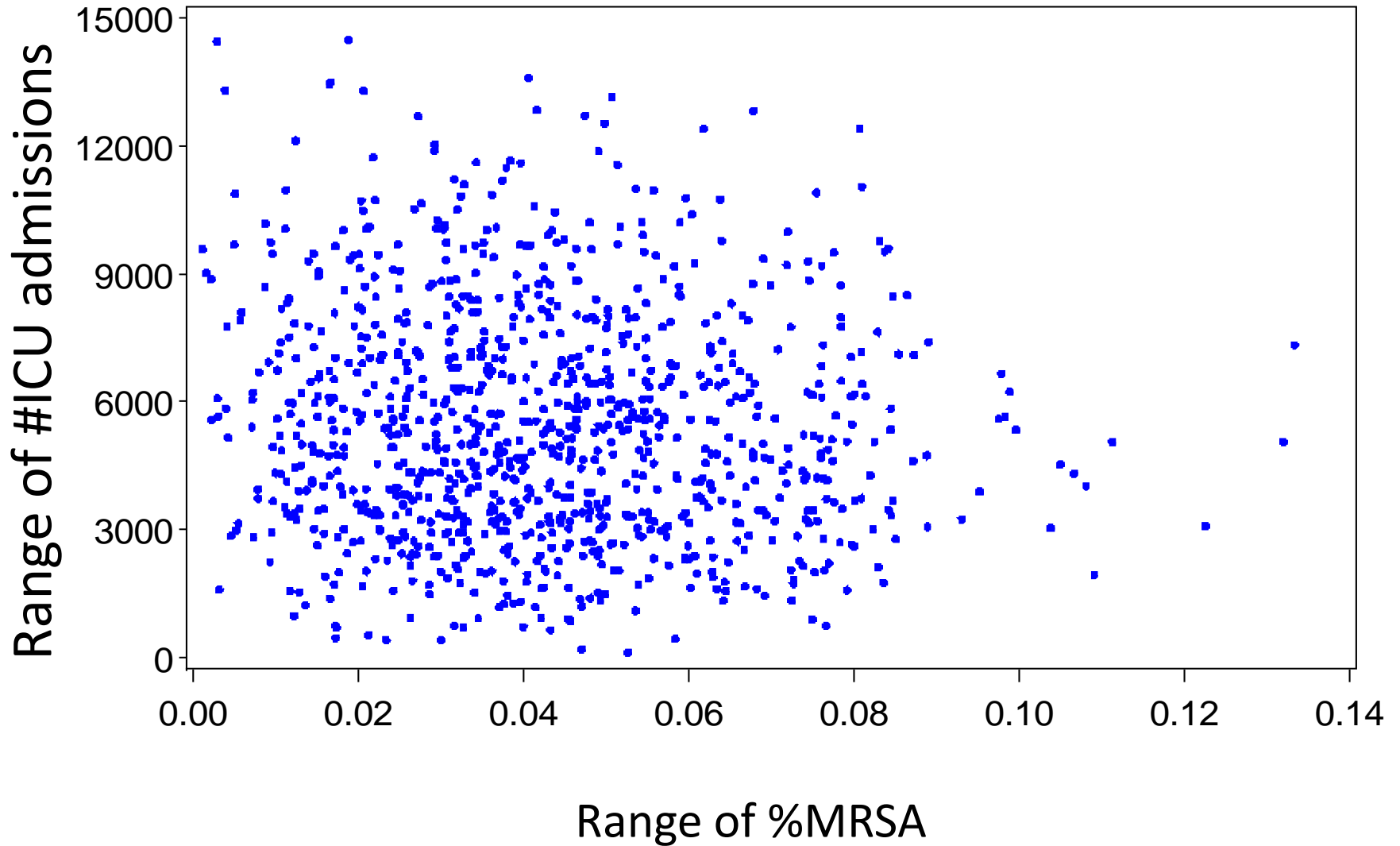
This research is funded by the national Agency for Healthcare Research and Quality and the Centers for Disease Control and Prevention. If you have a question or want additional information please talk to your nurse.



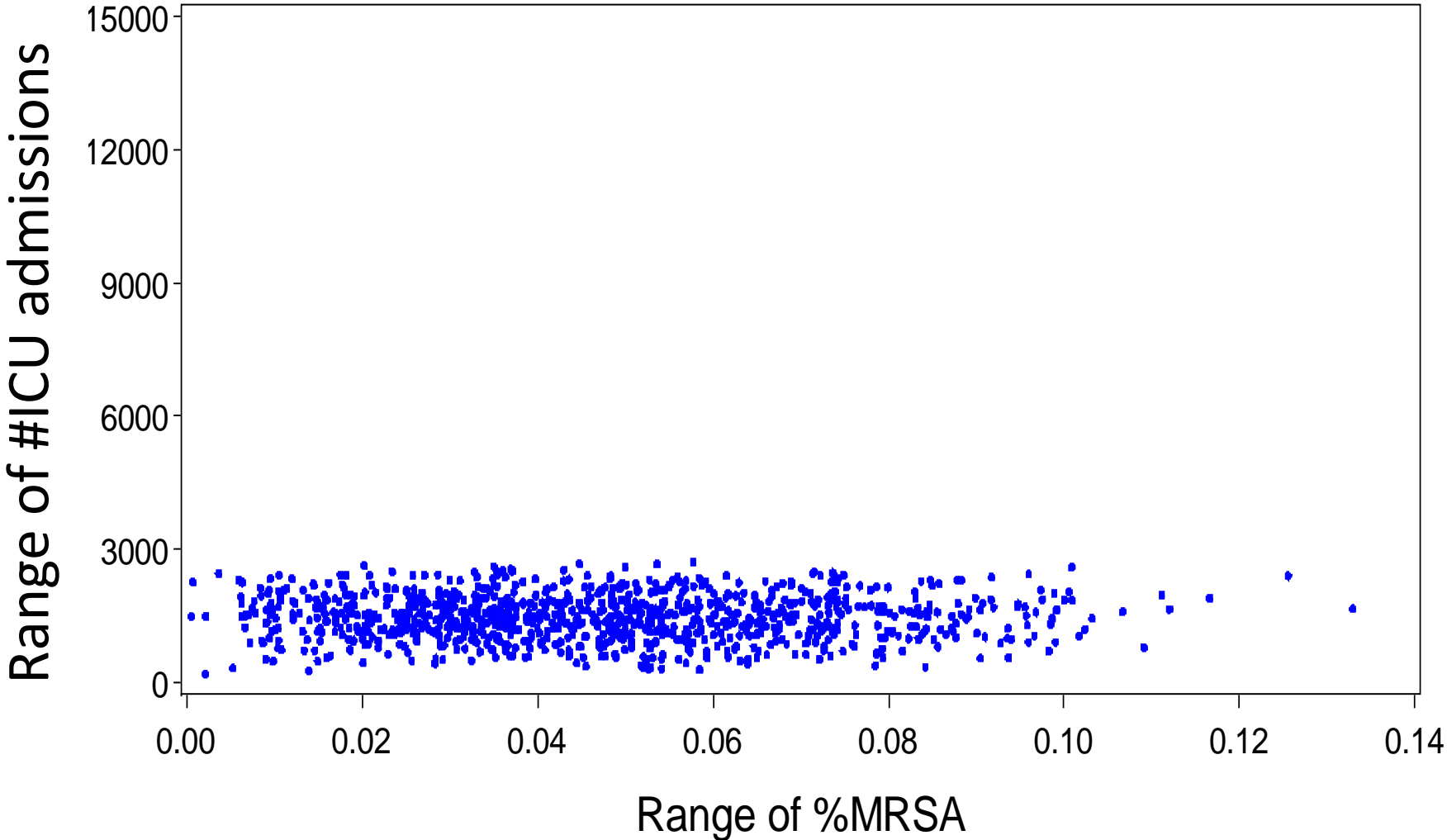
# Randomization Method

- All ICUs in a single hospital randomized to same treatment
- Randomization attempted to balance
  - Hospitals' annual ICU admission volume (range 161-4,288)
  - Hospitals' baseline ICU admission MRSA prevalence (range 7-44%)

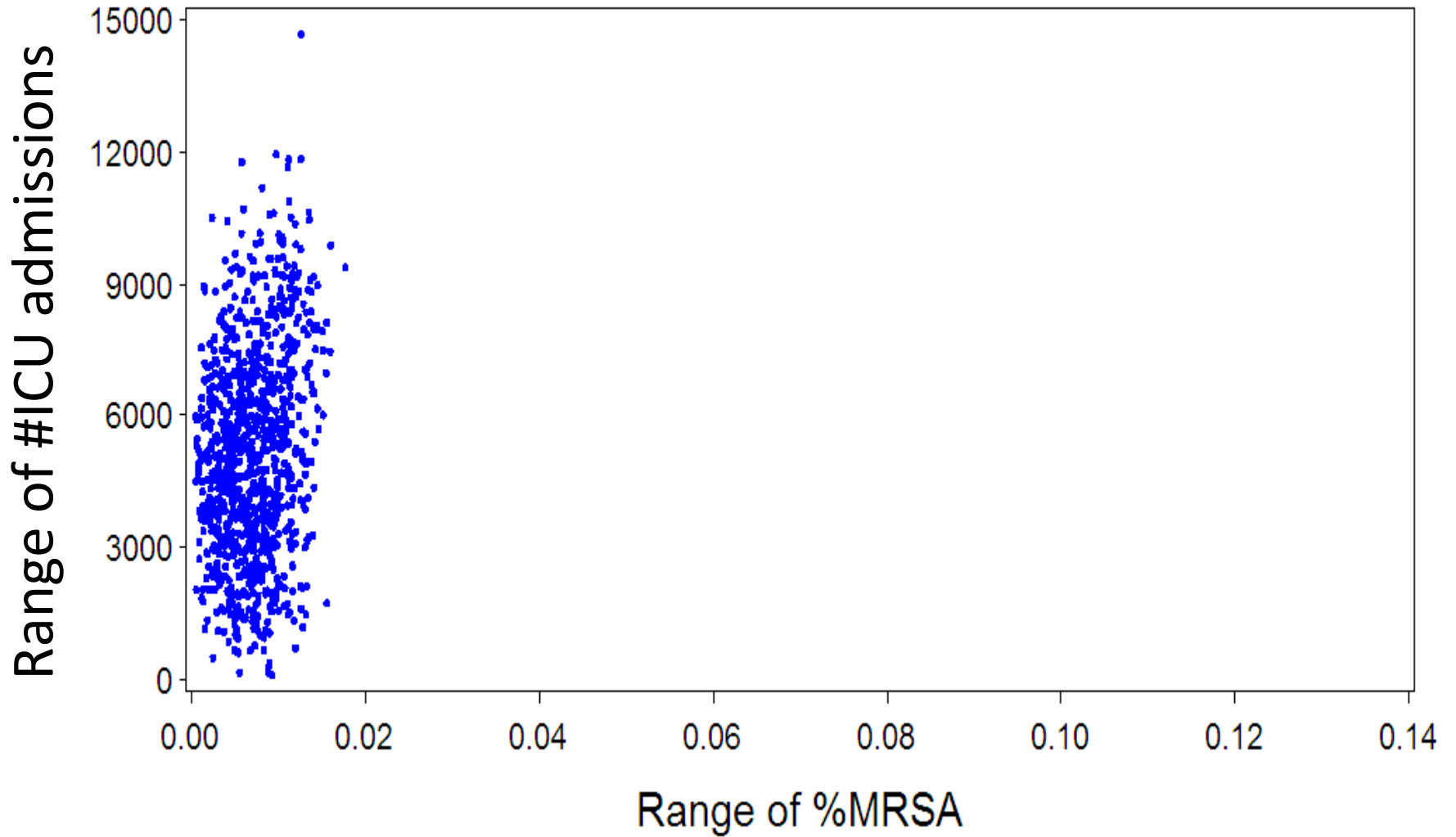
# Random treatment assignment



# Groups of 3 by # ICU admissions only

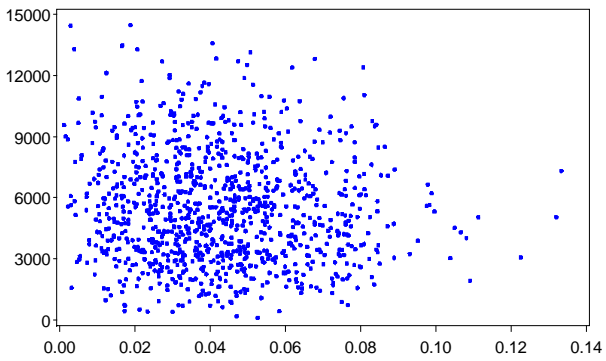


# Groups of 3 by %MRSA only

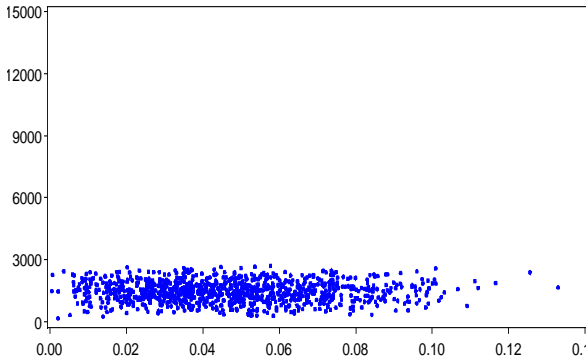


Range of #ICU admissions

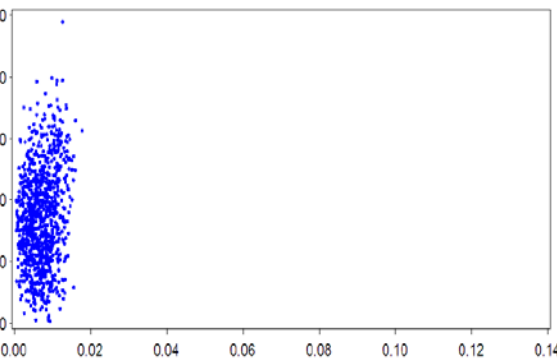
Random treatment assignment



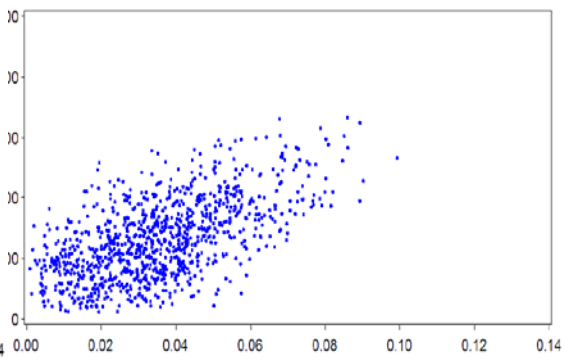
Groups of 3 by #ICU only



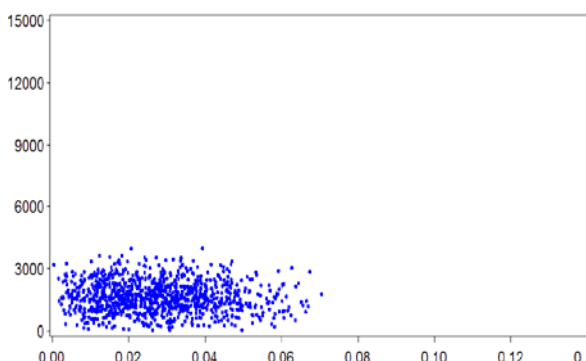
Groups of 3 by %MRSA only



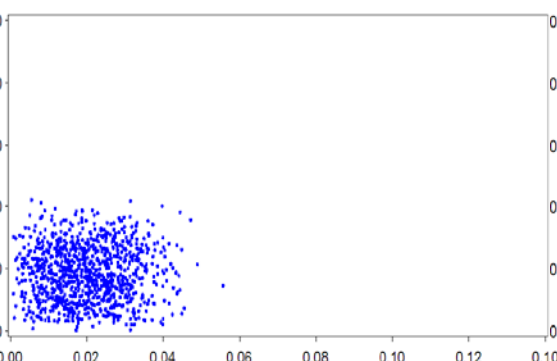
Groups of 3 by product of %MRSA and #ICU



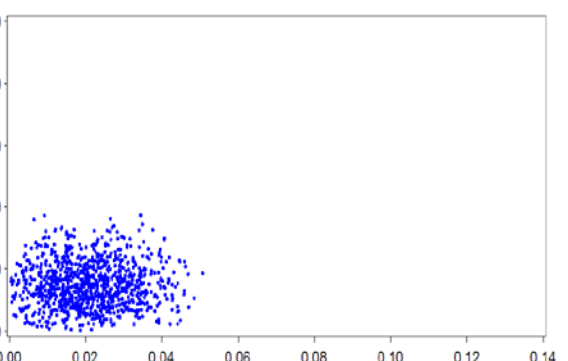
Groups of 6 by #ICU, then 3 by %MRSA



Groups of 9 by #ICU, then 3 by %MRSA



Groups of 12 by #ICU, then 3 by %MRSA

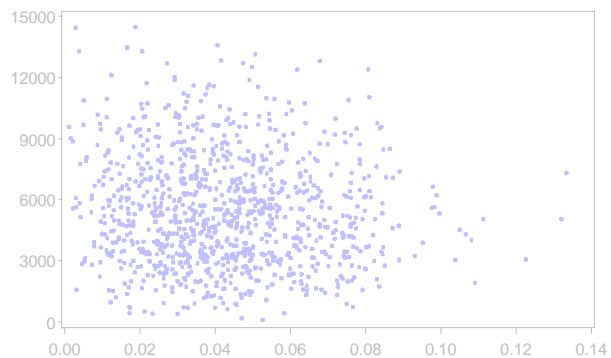


Range of %MRSA

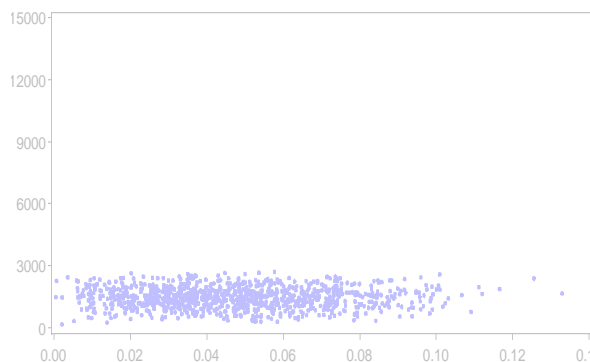


Range of #ICU admissions

Random treatment assignment



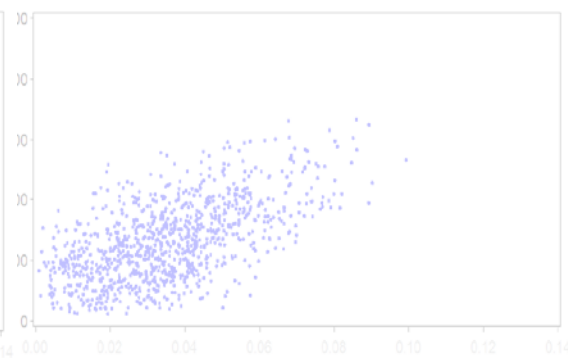
Groups of 3 by #ICU only



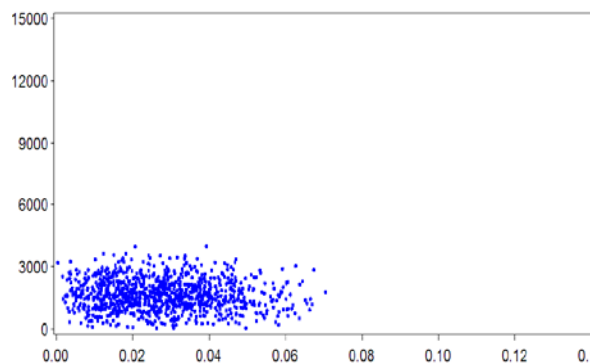
Groups of 3 by %MRSA only



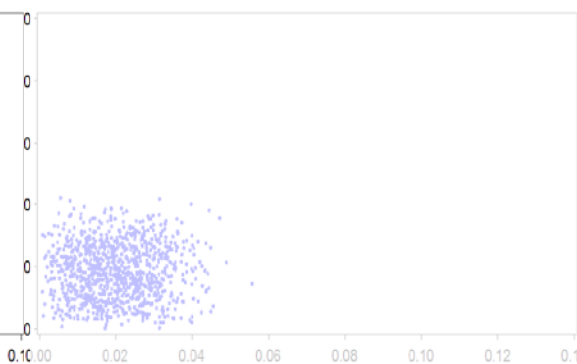
Groups of 3 by product of %MRSA and #ICU



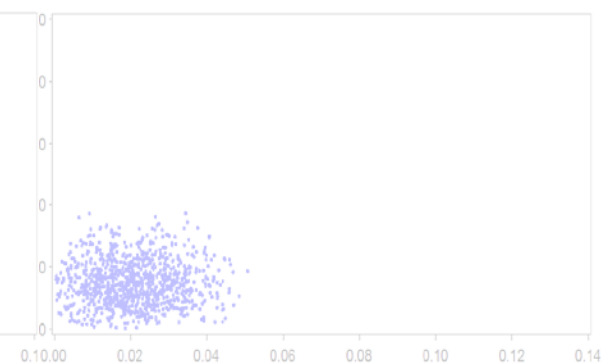
Groups of 6 by #ICU, then 3 by %MRSA



Groups of 9 by #ICU, then 3 by %MRSA

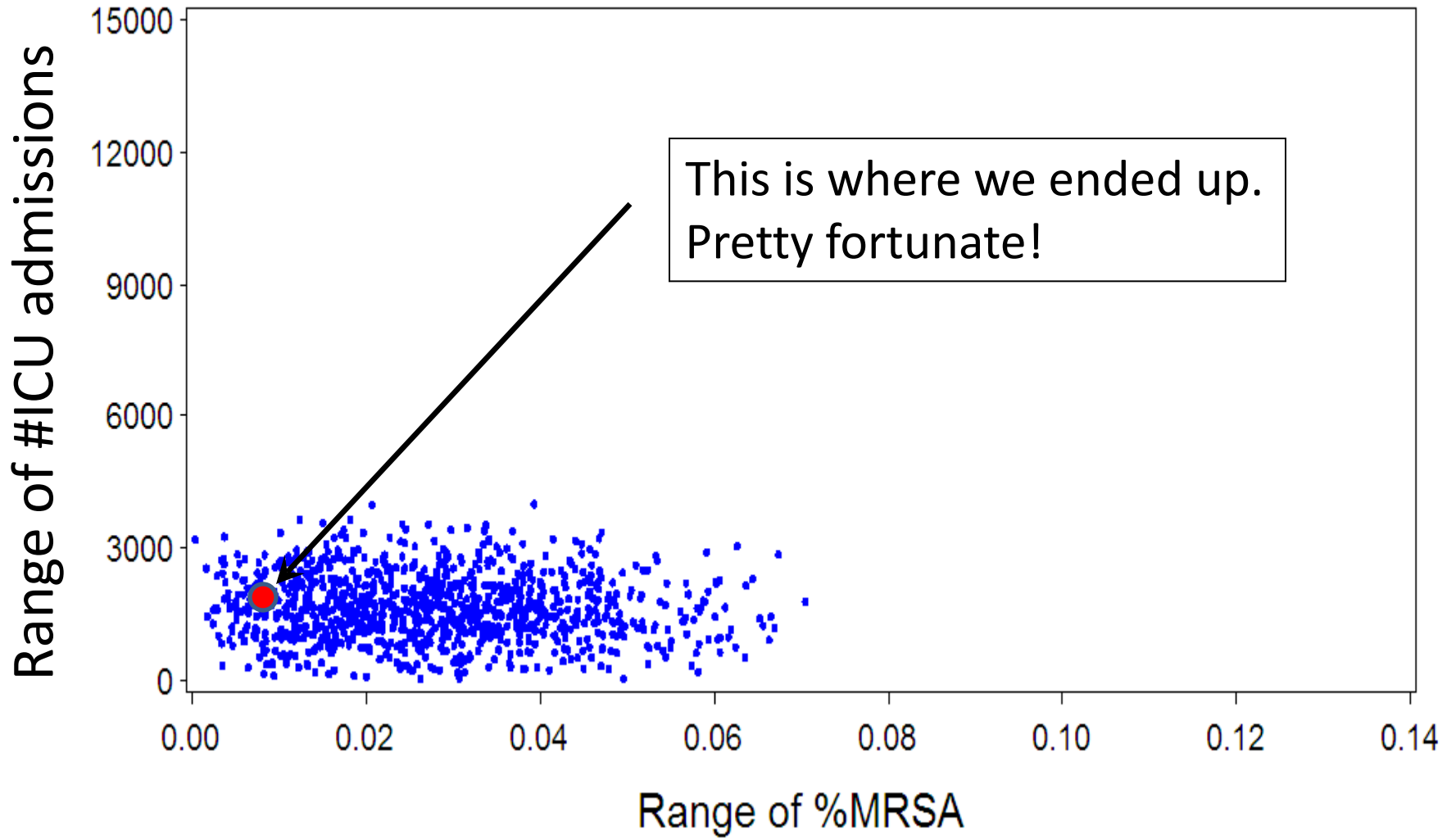


Groups of 12 by #ICU, then 3 by %MRSA

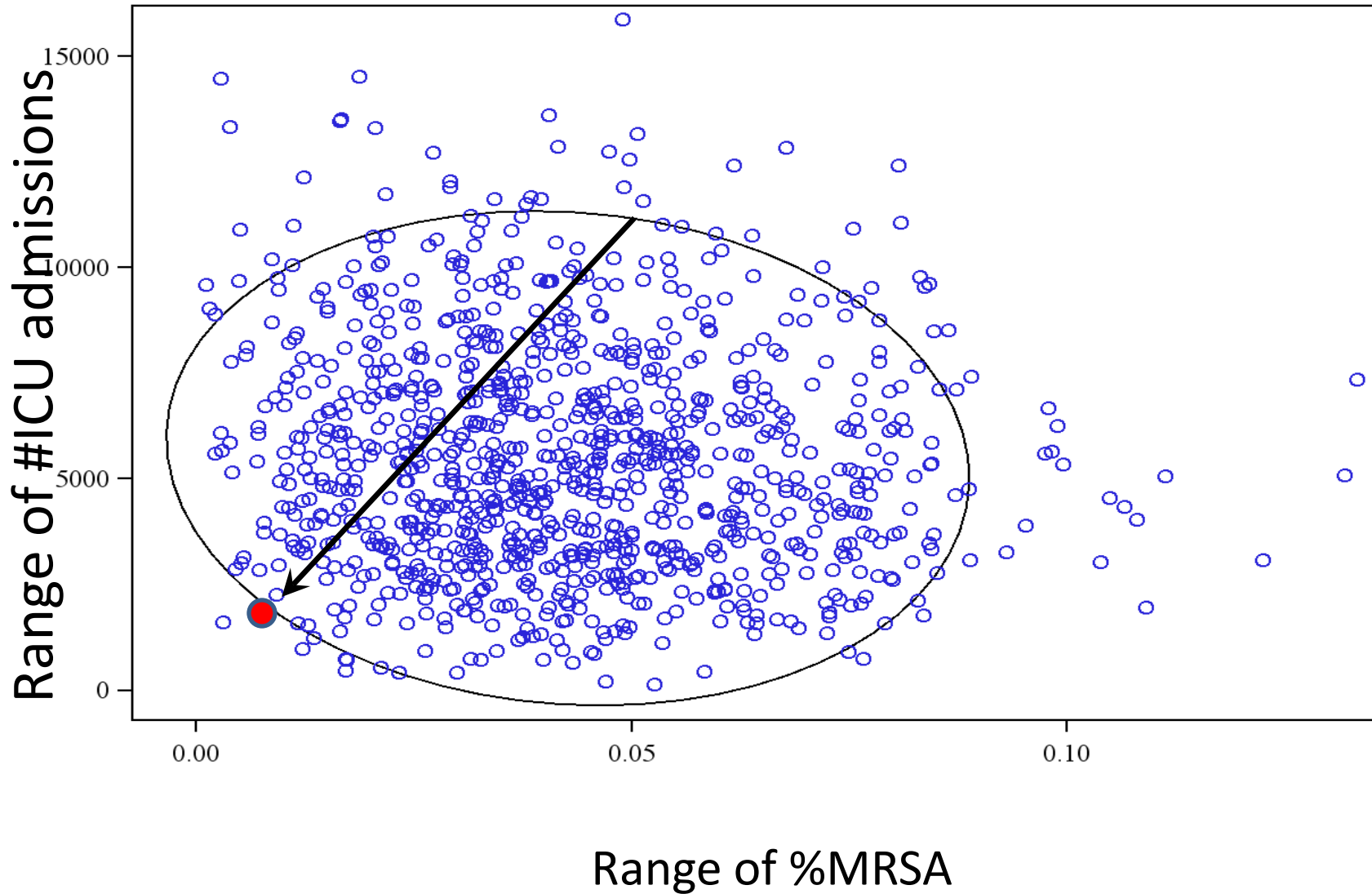


Range of %MRSA

# Groups of 6 by #ICU, then 3 by %MRSA



# Random treatment assignment



# HCA Sectors of Involvement

- HCA Corporate Leadership
- Division Leadership
- **Infection Prevention**
- **Quality**
- ICU Medical Directors
- ICU Directors
- IRB
- IT
- Pharmacy
- Supply Chain

# Educating staff

- Unique web based training program developed for each study arm
- Each ICU unit required to complete training via the HCA Learning Management System
  - >3,600 care providers completed training
  - Continued use for new staff
- All training and materials posted for internal access

# Daily Nursing Prompt for CHG Bathing Confirmation

## Nursing Prompt #1

Note: Chlorhexidine bathing (plus nasal nupirocin) is routinely used for MRSA+ patients in this ICU

Chlorhexidine Gluconate (CHG) used for bath:

If not, why not:

ICU Day Counter: 0

- Once a day you will complete a nursing prompt verifying if a CHG bath has occurred
- If CHG bath did **NOT** occur, make sure to complete 2<sup>nd</sup> prompt to provide a reason for not giving a CHG bath

1 - Patient is NOT MRSA+  
2 - Patient is MRSA+, but already received CHG bath in past 24h  
3 - Patient is MRSA+, but already received 5-day course  
4 - Patient is MRSA+, but has allergy to CHG  
5 - Patient is MRSA+, but refuses  
6 - Patient is MRSA+, but ID refuses  
7 - Patient is MRSA+, but too critical for bath  
\*\*\* If none of above are applicable, free text is available\*\*\*

Chlorhexidine Gluconate (CHG) used for bath:

If not, why not:

ICU Day Counter: 0

If "Yes" – no further action required  
If "No" – next prompt asks why



## Nursing Prompt #2

# Mission Control Duties

- Study calls
- Gmail -- Reduce.MRSA@gmail.com
- Toll free number -- (877) 294-9865
- Maintain contact information
- Study documents
- Protocol education
- Compliance reports
- Maintain log of key issues that arise
- Coordinate training and site visits
- Facilitate core groups

# Resolving Potentially Conflicting Interventions

ARM 2				
Date Notified	Status	Facility	Name of Intervention	Intervention Description
1/5/2011	Resolved		Kindest Kare cleanser	Facility is currently using Steris Kindest Kare hand and body wash total body cleanser. Facility would
1/4/2011	Resolved		A&D Ointment	Facility wishes to switch barrier product from Calmoseptine to A&D Ointment due to corporate
11/12/2010	Resolved		Bioseal	Facility Cath Lab plans to use Bioseal, and patients could be sent to study ICUs.
11/11/2010	Resolved		CHG oral care as part of VAP bundle	Facility plan for (VAP) prevention - Oral care with CHG
10/28/2010	Resolved		Replace basin baths with regular bathing cloths	Facility would like to eliminate basin baths on their non- MRSA + patients and only use the regular
10/13/2010	Resolved		Continue incomplete CHG/Bactroban course on	Facility inquires if the 5 day CHG/Bactroban can be continued and completed on the floor when patients
10/12/2010	Resolved		Baby wipes with aloe	Facility would like to verify if using baby wipes containing aloe contraindicate with the trial
10/4/2010	Resolved		Decolonization outside of ICU	Facility did not have a protocol for routine decolonization with Bactroban on their nursing units
9/9/2010	Resolved		VAP	VAP protocol in possible conflict with trial elongation
9/15/2010	Resolved		VAMP	Facility is embarking on a project related to reducing line sepsis & a new process for drawing blood is



# Centralized Data Gathering

## HCA's Electronic Data Warehouse

- Person Level
  - Admissions Data
  - Charge Data
  - Nursing CHG Prompt Data
  - Lab Data
- ICU Level
  - Supply Data
  - Infection Control Reports

# Analysis Plan

- Compare baseline and intervention MRSA rates
- Unadjusted comparison
- Multivariate regression model adjusting for:
  - MRSA imported into ICU,
  - length-of-stay,
  - secular trend,
  - comorbidities,
  - etc.

# Cluster randomization and CER

- Pros
  - More generalizable
  - Directly tests effectiveness
  - Efficient use of routinely collected health information
- Cons(iderations)
  - Needs stakeholder engagement and buy-in
  - Views evolving regarding informed consent needs and mechanisms
  - Need to track / control concurrent changes

# Health plan leaders' views

- Recognized value of CER and need for head-to-head trials
- Barriers
  - Stakeholder concerns
  - Need for budget neutrality
  - Impact on operations, e.g., formulary

# Other stakeholder views

- **Members** required assurance that CRTs not compromise their care
- **Providers** concerned about potential impact on relationships with patients
- **Purchasers** needed assurances that CRT not diminish care AND that benefits be equitable

Thank you